

# Research statement

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### 1 Introduction

My focus in my Ph.D. has been on 2-category theory. My primary interests are **lax structures** and **double categories**. This includes turning lax algebras for a 2-monad into strict ones and computing lax morphism classifiers, the correspondence between factorization systems and double categories, lax aspects of KZ-monads with an eye towards applications in two-dimensional monad theory, the replacement of structure by property à la Claudio Hermida. I am also very open-minded about doing research on new topics in the future and broadening my horizons.

This section will serve as a brief introduction to these concepts, while in Section 2 I will outline my proven results and the work that's in progress.

#### 1.1 Strictification of structures

A famous coherence theorem for monoidal categories is the statement that “every monoidal category is monoidally equivalent to a strict one”. A modern approach to problems of coherence uses two-dimensional monad theory [1] - the statement becomes that under certain conditions, “every pseudo- $T$ -algebra is equivalent to a strict  $T$ -algebra.”

One precise formulation that has been proven in [2] uses the machinery of *codescent objects* - a certain weighted 2-categorical colimit that's a higher analogue of coequalizers.

**Definition 1.1.** Given a 2-category  $\mathcal{K}$  and a simplicial object  $X : \Delta^{op} \rightarrow \mathcal{K}$  in  $\mathcal{K}$ , the *codescent object* of  $X$  is a colimit of  $X$  weighted by a 2-functor  $\iota : \Delta \rightarrow \text{Cat}$  that regards every ordinal as a category.

By the *iso-codescent object* we mean the same colimit but the weight is given by composing  $\iota$  with the reflection and then inclusion of  $\text{Cat}$  into the 2-category of grupoids.

**Definition 1.2.** Given a 2-monad  $T$  on a 2-category  $\mathcal{K}$  and a strict  $T$ -algebra  $\mathbb{A} = (A, a)$ , by its *resolution*  $\text{Res}(\mathbb{A})$  we mean the simplicial object in  $\text{T-Alg}_s$  associated to the algebra, i.e.  $\text{Res}(\mathbb{A})_i := TA^{i+1}$ .

Similarly one can define the resolution for a colax  $T$ -algebra, except this time we only get a “lax” simplicial object in  $\text{T-Alg}_s$ .

**Theorem 1.3** ([2]). Let  $T$  be a 2-monad on a 2-category  $\mathcal{K}$  and assume that the 2-category of strict algebras and strict morphisms  $\text{T-Alg}_s$  admits codescent objects. Then the inclusion 2-functors of strict algebras into pseudo-algebras and strict algebras into strict algebras and

pseudo morphisms have left 2-adjoints that send a  $T$ -algebra to the iso-codescent object of its resolution:

$$\begin{array}{ccc}
 & \xleftarrow{(-)'} & \\
 \text{T-Alg}_s & \perp & \text{Ps-T-Alg} \\
 & \xrightarrow{\quad} & 
 \end{array}
 \qquad
 \begin{array}{ccc}
 & \xleftarrow{(-)'} & \\
 \text{T-Alg}_s & \perp & \text{T-Alg} \\
 & \xrightarrow{\quad} & 
 \end{array}$$

If  $T$  preserves reflexive codescent objects, the unit of the above adjunction is an equivalence in  $\text{Ps-T-Alg}$ , making every pseudo- $T$ -algebra  $\mathbb{A} = (A, a, \gamma, \iota)$  equivalent to a strict  $T$ -algebra  $\mathbb{A}' = (A', a')$ .

There is also a less known lax version of the theorem that has been proven in [3]:

**Theorem 1.4.** Let  $T$  be a 2-monad on a 2-category  $\mathcal{K}$  and assume that the 2-category of strict algebras and strict morphisms  $\text{T-Alg}_s$  admits codescent objects. Then the inclusion 2-functors of strict algebras into colax algebras and strict algebras into strict algebras and lax morphisms have left 2-adjoints that send a  $T$ -algebra to the codescent object of its resolution:

$$\begin{array}{ccc}
 & \xleftarrow{(-)'} & \\
 \text{T-Alg}_s & \perp & \text{CoLax-T-Alg}_l \\
 & \xrightarrow{\quad} & 
 \end{array}
 \qquad
 \begin{array}{ccc}
 & \xleftarrow{(-)'} & \\
 \text{T-Alg}_s & \perp & \text{T-Alg}_l \\
 & \xrightarrow{\quad} & 
 \end{array}$$

If  $T$  preserves reflexive codescent objects, the unit of the above adjunction is (an internal) right adjoint in  $\text{CoLax-T-Alg}_l$ , making every colax- $T$ -algebra  $\mathbb{A} = (A, a, \gamma, \iota)$  related to the  $T$ -algebra  $\mathbb{A}' = (A', a')$  by an adjunction.

## 1.2 Internal algebra classifiers

**Definition 1.5.** Let  $\mathbb{A} := (A, a)$  be a strict  $T$ -algebra. By an *internal  $T$ -algebra* in  $\mathbb{A}$  we mean a lax  $T$ -algebra morphism  $* \rightsquigarrow \mathbb{A}$  from the terminal  $T$ -algebra  $*$ .

The value of the left adjoint in Theorem 1.4 at the terminal algebra  $*$  is the  $T$ -algebra  $(*)'$  that is called an *internal algebra classifier*, meaning that internal algebras in a  $T$ -algebra  $\mathbb{A}$  correspond to strict  $T$ -algebra morphisms out of  $(*)' \rightarrow \mathbb{A}$ . Thus  $(*)'$  can be seen as a universal  $T$ -algebra containing an internal  $T$ -algebra.

For instance, given the free strict monoidal category 2-monad  $T$  on  $\text{Cat}$ , the internal algebra classifier is given by the category  $\Delta$  of (possibly empty) ordinals and order-preserving maps.

The questions of giving explicit description to various internal algebra classifiers has been studied by Mark Weber in [4]. By Theorem 1.4, these are given by certain codescent objects

so the question becomes how to compute codescent objects of “nice” simplicial objects. Mark Weber defines a *crossed double category*  $X$  as a double category for which the domain functor  $d_1 : X_1 \rightarrow X_0$  is a split opfibration (with additional axioms), and gave an explicit description of the codescent object of  $X$  (regarded as a simplicial object) in terms of pullbacks in  $\text{Set}$ . This is what he calls a *category of corners*  $\text{Cnr}(X)$  associated to  $X$ .

The 2-monads that Weber has been studying (that include the free monoidal category and free symmetric strict monoidal category 2-monads) have the property that the resolution  $\text{Res}(\ast)$  of a terminal  $T$ -algebra has the structure of a crossed double category, and thus his formula for the category of corners applies here.

### 1.3 Two-dimensional monad theory

In the milestone paper [1] the authors gave a systematic study of 2-monads. This paper gave a proof of the coherence theorem for strict algebras and pseudo morphisms (under different assumptions than those in Theorem 1.3), introduced the notions of *flexible* and *semiflexible* algebras and gave their characterizations, studied limits and colimits in  $T\text{-Alg}$  as well as various biadjunctions related to this 2-category. I highlight the following result and one of its corollaries:

**Theorem 1.6.** Any 2-adjunction pictured below-left gives rise to a biadjunction pictured below right:

$$\begin{array}{ccc}
 & \begin{array}{c} \curvearrowright \\ H \\ \perp \\ \curvearrowleft \end{array} & \\
 T\text{-Alg}_s & \xrightarrow{J} & T\text{-Alg} \xrightarrow{G} \mathcal{L} \\
 & & \rightsquigarrow \\
 & \begin{array}{c} \curvearrowleft \\ JH \\ \perp_{bi} \\ \curvearrowright \\ G \end{array} & \\
 & T\text{-Alg} & \mathcal{L}
 \end{array}$$

**Corollary 1.7.** Assume that  $T\text{-Alg}_s$  is cocomplete. Then  $T\text{-Alg}$  is bicocomplete.

### 1.4 Coherence via universality

In the 70’s, Grothendieck established what Claudio Hermida calls “*coherence via universality*” - the process of replacing pseudo-structure by a *property-like* structure. In Grothendieck’s case, it was replacing pseudofunctors by functors with the **property** of being an opfibration. Another instance of this was given by Hermida in [5] where monoidal categories were described as multicategories with the **property** of being representable.

In a follow up paper [6] Hermida gave a general framework for this process, namely: given a 2-monad  $T$  on a 2-category  $\mathcal{K}$  with good properties, there is a lax-idempotent 2-monad  $T_{\lrcorner}$  and a morphism of monad inducing a biequivalence:

$$\text{Ps-}T\text{-Alg} \simeq \text{Ps-}T_{\lrcorner}\text{-Alg}.$$

One disadvantage of the approach in the paper is that it is fairly technical and involves bicategories and two-sided internal fibrations. A more conceptual approach to this problem has been promised to be done by the authors in the paper [7] but this has not been fulfilled since.

## 2 Current research

### 2.1 Lax coherence theorem

My focus has been on better understanding Theorem 1.4 - this began in my Master's thesis where I gave an alternative proof to this theorem. In my Ph.D. I had two goals regarding it:

- Find an explicit description for the strictification 2-functors  $(-)' : \text{Lax-T-Alg}_c \rightarrow \text{T-Alg}_s$  and  $(-)' : \text{T-Alg}_c \rightarrow \text{T-Alg}_s$ ,
- find a class of 2-monads that satisfy the assumptions of the theorem,

For various concrete examples, the answer to the first point has been given - for instance in [8] for lax functors (this specializes to the computation of the Kleisli category of a monad), in [9] for double categories and colax double functors, for 2-categories and lax functors in folklore, as mentioned in [10].

In my work I have extended the work of Mark Weber (described in Section 1.2) to compute not just strictifications of the terminal  $T$ -algebra  $*$ , but a general colax  $T$ -algebra  $\mathbb{A}$ . This is done as follows.

Starting with a cartesian 2-monad  $T$  on  $\text{Cat}$ , I defined the concept of a *codomain-lax category* - a double category-like structure for which the horizontal composition  $f \circ g$  of horizontal morphisms  $f, g$  won't necessarily have the same codomain as  $f$ . I show that for this class of diagrams, the codescent object can be given using a generalized category of corners construction  $\text{Cnr}(X)$  that uses generators and relations.

In the special case where  $T$  is a 2-monad of form  $\text{Cat}(T')$  for a cartesian monad  $T'$  on  $\text{Set}$ ,  $\text{Cnr}(X)$  can be described only using pullbacks, which implies that it's automatically preserved by  $T$ . We obtain:

**Theorem 2.1.** Let  $T$  be a 2-monad on  $\text{Cat}$  that is of form  $\text{Cat}(T')$  for a cartesian monad  $T'$  on  $\text{Set}$ . Then Theorem 1.4 holds for  $T$ .

In fact, I prove this more generally where instead of  $\text{Cat}$  I have the 2-category  $\text{Cat}(\mathcal{E})$  of categories internal to category  $\mathcal{E}$  that is sufficiently cocomplete. All of the examples mentioned above can be seen to follow from this Theorem.

## 2.2 Factorization systems and double categories

In 2021 I started studying the relationship between orthogonal factorization systems and double categories, both fundamental concepts in category theory.

I showed that for every orthogonal factorization system  $(\mathcal{E}, \mathcal{M})$  on a category  $\mathcal{C}$  there is an associated double category  $D_{\mathcal{E}, \mathcal{M}}$  whose objects are the objects of  $\mathcal{C}$ , vertical morphisms are those of  $\mathcal{E}$ , horizontal morphisms are those of  $\mathcal{M}$ , and squares are commutative squares.

This double category has certain desirable properties, the most important of which is the fact that every top-right corner can be filled to a *bicartesian* square - a bidirectional analogue of cartesian squares used in the definition of crossed double categories of Mark Weber. I call these *factorization double categories*.

On the other hand, given a factorization double category, there is an associated category  $\text{Cnr}(X)$  (that's again given by an analogue to Weber's category of corners) and two classes of morphisms  $(\mathcal{E}_X, \mathcal{M}_X)$  that form an orthogonal factorization system on  $\text{Cnr}(X)$ .

These two processes are mutually equivalence-inverse, providing us with the equivalence of the category  $\mathcal{OFS}$  of categories equipped with orthogonal factorization system and functors preserving both classes, and a full subcategory  $\text{FactDbl}$  of the category of small double categories spanned by factorization double categories.

Moreover, a similar equivalence holds between strict factorization systems and what I call *codomain-discrete double categories* - those for which the codomain functor  $d_0 : X_1 \rightarrow X_0$  is a discrete opfibration. There's two applications of these concepts I had in mind:

- The equivalence  $\mathcal{OFS} \simeq \text{FactDbl}$  gives conceptual reasons for why categories like  $\text{Par}(\mathcal{C})$  (of objects and partial maps in  $\mathcal{C}$ ) or  $\text{Cof}(\mathcal{E})$  (of categories and *cofunctors* internal to  $\mathcal{E}$ ) admit orthogonal factorization systems - both can be described as the category of corners for a naturally occurring factorization double category).
- Because the resolution  $\text{Res}(\mathbb{A})$  of a  $T$ -algebra  $\mathbb{A}$  for a 2-monad on  $\text{Cat}$  of form  $\text{Cat}(T')$  is codomain-discrete, together with the results from Subsection 2.1 this explains why lax morphism classifiers for these 2-monads always come equipped with a strict factorization system.

These results have been put into a preprint [11] that has been sent to a specialized journal.

## 2.3 Lax aspects of KZ pseudomonads

The next area of my study concerns *lax-idempotent pseudomonads* and certain aspects that have not been studied before - characterizations of pseudoalgebras for the pseudomonad in terms of *coreflectors* (morphisms in a 2-category that have a left adjoint with invertible unit), weak completeness of the Kleisli 2-category and the rise of various lax adjunctions between Kleisli 2-categories for such pseudomonads.

To properly motivate the following, let's start with examples from two-dimensional monad theory. Note that as with any 2-adjunction, the one in Theorem 1.4 between  $\mathbf{T}\text{-Alg}_s$  and  $\mathbf{T}\text{-Alg}_l$  generates a 2-comonad  $Q_l$  that's called a *lax morphism classifier 2-comonad*. Similarly we get a *pseudo-morphism classifier 2-comonad*  $Q_p$  generated by the 2-adjunction in Theorem 1.3. The first step for me was recognizing that the results mentioned in Subsection 1.2 hold more generally if we replace  $Q_p : \mathbf{T}\text{-Alg}_s \rightarrow \mathbf{T}\text{-Alg}_s$  by a general pseudo-idempotent 2-comonad  $Q$  on a 2-category  $\mathcal{K}$ . The study of  $\mathbf{T}\text{-Alg}$  then becomes the study of the Kleisli 2-category for the 2-comonad  $Q$  (in case  $Q = Q_p$  this gives  $\mathbf{T}\text{-Alg}$ ). To study lax analogue of these results, I move from pseudo-idempotent 2-comonads to lax-idempotent 2-comonads, and to make exposition clearer and cover yet more examples this becomes the study of lax-idempotent pseudomonads.

Throughout this section, let  $(D, m, i)$  be a lax-idempotent pseudomonad on a 2-category  $\mathcal{K}$  and denote by  $J : \mathcal{K} \rightarrow \mathcal{K}_D$  the inclusion pseudofunctor to its Kleisli 2-category. Call a morphism  $f : A \rightarrow B$  a *J-coreflector* if  $Jf$  is a coreflector in the Kleisli 2-category.

**Theorem 2.2.** The following are equivalent for an object  $A \in \mathcal{K}$ :

- $A$  admits the structure of a pseudo- $D$ -algebra,
- $\mathcal{K}(-, A) : \mathcal{K}^{op} \rightarrow \mathbf{Cat}$  sends  $J$ -coreflectors in  $\mathcal{K}^{op}$  to coreflectors in  $\mathbf{Cat}$ ,

For instance, when applied to a small presheaf pseudomonad  $P$  on the 2-category  $\mathbf{CAT}$  of locally small categories, the statement becomes a folklore characterization of cocompleteness:

**Corollary 2.3.** The following are equivalent for a locally small category  $\mathcal{C}$ :

- $\mathcal{C}$  is cocomplete,
- left Kan extensions along small (also called *admissible*) fully faithful functors exist in  $\mathcal{C}$ .

When the dual of this theorem is applied to the pseudomorphism classifier 2-comonad  $Q_p$ , we obtain one characterization theorem for *semiflexible*  $T$ -algebras that has been given in [12, Theorem 20].

The generalization of Theorem 1.6 from 2-comonad  $Q_p$  to a general lax-idempotent pseudomonad is given as:

**Theorem 2.4.** Any biadjunction pictured below-left gives rise to a lax adjunction pictured below right:

$$\begin{array}{ccc}
 \begin{array}{ccccc}
 & & H & & \\
 & & \uparrow & & \\
 \mathcal{K} & \xleftarrow{\quad} & & \xrightarrow{\quad} & \mathcal{L} \\
 \xrightarrow{\quad J \quad} & & \mathcal{K}_D & \xrightarrow{\quad G \quad} & \\
 & & & & 
 \end{array}
 & \rightsquigarrow &
 \begin{array}{ccc}
 & JH & \\
 \mathcal{K}_D & \xleftarrow{\quad} & \mathcal{L} \\
 & \uparrow \tau_{lax} & \\
 & G & 
 \end{array}
 \end{array}$$

One of the corollaries to this theorem is the fact that there is a canonical lax adjunction between the Kleisli 2-category and the 2-category of pseudo- $D$ -algebras. The following corollary concerns my notion of *coreflector-limits*. These are a certain kind of *enriched weak limits* in the sense of [13, Section 4] (with  $\mathcal{V} = \text{Cat}$ ,  $\mathcal{E}$  being the class of coreflectors). Note that the word *weak* is understood here in the sense that the canonical comparison 1-cell to the limit from any other cone must exist, but is not required to be unique. In addition to the weak 1-dimensional universal property, these also satisfy a certain 2-categorical property.

**Corollary 2.5.** Assume that  $\mathcal{K}$  is complete. Then  $\mathcal{K}_D$  is coreflector-complete.

In the case of a small presheaf pseudomonad, this result says that the bicategory Prof of locally small categories and *small profunctors*<sup>1</sup> is complete in this sense.

In the case of a pseudo morphism classifier 2-comonad  $Q_p$  the dual of this corollary recovers Corollary 1.7.

In case of a lax morphism classifier 2-comonad  $Q_l$  this gives a result on weak cocompleteness of the 2-category  $\text{T-Alg}_l$  of strict algebras and lax morphisms. A particular example includes the 2-category of monoidal categories and lax monoidal functors and variations thereof. A result of this kind is interesting because colimits in these 2-categories have not been studied in the literature before (as opposed to limits, see [14], [15]).

## 2.4 Coherence via universality

My approach to what's been outlined in Section 1.4 is given as follows. Assume we're given a 2-monad  $T$  that is either finitary or preserves reflexive codescent objects, on a 2-category  $\mathcal{K}$  that is sufficiently cocomplete. Consider the lax morphism classifier 2-comonad  $Q_l$  on  $\text{T-Alg}_s$ . It has an associated 2-monad  $T_{\perp}$  on the 2-category  $Q_l\text{-Coalg}_s$  of  $Q_l$ -coalgebras which is the sought replacement of  $T$  by a lax-idempotent 2-monad.

It can be shown that in case the 2-monad  $T$  is of form  $\text{Cat}(T')$ , the corresponding  $Q_l$ -coalgebras are equivalent to  $T'$ -multicategories. The equivalence of pseudo- $T$ -algebras and pseudo- $T_{\perp}$ -algebras is then the equivalence of monoidal categories and representable multicategories as studied by Hermida [5].

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<sup>1</sup>I call a profunctor small if its transpose is a small presheaf, i.e. a small colimit of representables.

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