McCammond's solution	New approach	Star-freeness of $L_n(\alpha)$	Star-freeness of $L_n(\alpha)^*$	Other applications
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A NEW APPROACH TO MCCAMMOND'S SOLUTION OF THE ω -word problem for finite aperiodic semigroups

José Carlos Costa

with Jorge Almeida (U. Porto) and Marc Zeitoun (U. Bordeaux)

> Departamento de Matemática Universidade do Minho Braga, Portugal

Workshop on Equational Theory of Regular Languages

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- An ω-term is a formal expression obtained from letters of an alphabet X using the operations of concatenation (u, v) → uv, and ω-power u → u^ω.
- An ω-term has a natural *interpretation* on each finite semigroup S:

When do two ω -terms define the same operation over all finite aperiodic semigroups?

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 - the concatenation is viewed as the semigroup multiplication
 the ω-power is interpreted as the operation that sends each element s ∈ S to the unique idempotent power s^ω of s.

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J. McCammond, *Normal forms for free aperiodic semigroups*, Int. J. Algebra and Computation **11** (2001), 581–625.

THEOREM (MCCAMMOND'S ALGORITHM)

Using only rewriting rules resulting from reading the following identities in either direction, it is possible to transform any ω -term into a certain normal form, preserving its action on finite aperiodic semigroups:

$$(\mathbf{x}^{\omega})^{\omega} = \mathbf{x}^{\omega};$$

$$(\mathbf{x}^k)^\omega = \mathbf{x}^\omega \text{ for } k \ge 2;$$

$$X^{\omega} X^{\omega} = X^{\omega};$$

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 $(xy)^{\omega}x = x(yx)^{\omega}.$

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MCCAMMOND'S N	JORMAL FORM			

 $\mathsf{Y}=\mathsf{X} \uplus \{(,)\},$

- Fix a total ordering of the alphabet X, and extend it to Y by letting (< x <) for all x ∈ X.
- A *Lyndon word* is a primitive word that is minimal, with respect to the lexicographic ordering, in its conjugacy class.
- Alternatively, a word w ∈ X⁺ is a Lyndon word if and only if w < v for any proper non-empty suffix v of w.

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- Assuming that the rank i normal forms have been defined, a rank i + 1 normal form is a word from Y⁺ of the form

 $\alpha_0(\beta_1)\alpha_1(\beta_2)\cdots\alpha_{n-1}(\beta_n)\alpha_n,$

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where the α_i and β_k are ω -terms such that:



- The rank 0 normal forms are the words from X^+ .
- Assuming that the rank i normal forms have been defined, a rank i + 1 normal form is a word from Y⁺ of the form

 $\alpha_0(\beta_1)\alpha_1(\beta_2)\cdots\alpha_{n-1}(\beta_n)\alpha_n,$

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- each β_k is a Lyndon word of rank *i*;
- each intermediate α_j is not a prefix of a power of β_j nor a suffix of a power of β_{j+1};
- replacing each factor (β_k) by β_kβ_k, we obtain a normal form of rank *i*;
- at least one of the preceding properties fails if we remove from α_i a prefix β_i or a suffix β_{i+1} for 0 < j < n;
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McCammond's solution of the ω -word problem over ${f A}$

The following ω -terms are in McCammond's *normal form*, where *a* and *b* are letters with *a* < *b*,

- (a)ab(b) is the normal form, for instance, of (a)(b) and of $a(a^5)a(a^2)b^8(b)$.
- b(ab)abaa(a)b(aab) is the normal form of (ba)(a)ba(aba)ab.
- ((a)ab(b)ba)(a)ab(b) is the normal form of ((a)(b)).

THEOREM (MCCAMMOND'2001)

Two ω -terms coincide in all finite aperiodic semigroups if and only if they have the same normal form.

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LANGUAGES ASSOCIATED WITH ω -TERMS

- Let *n* be a positive integer.
- For a word $\alpha \in X^+$, we let $E_n(\alpha) = \{\alpha\}$.
- For an ω-term α = α₀(β₁)α₁…(β_r)α_r where all the β_j have the same rank *i* and all the α_j have rank at most *i*, we let

 $\boldsymbol{E}_{\boldsymbol{n}}(\alpha) = \{ \alpha_0 \beta_1^{n_1} \alpha_1 \cdots \beta_r^{n_r} \alpha_r : \boldsymbol{n}_1, \ldots, \boldsymbol{n}_r \geq \boldsymbol{n} \}.$

• For a set W of ω -terms, we let

$$E_n(W) = \bigcup_{\alpha \in W} E_n(\alpha).$$

• For an ω -term α of rank k, we let

 $L_n(\alpha) = (E_n)^k(\alpha).$

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- Let *n* be a positive integer.
- For a word $\alpha \in X^+$, we let $E_n(\alpha) = \{\alpha\}$.
- For an ω -term $\alpha = \alpha_0(\beta_1)\alpha_1\cdots(\beta_r)\alpha_r$ where all the β_j have the same rank *i* and all the α_j have rank at most *i*, we let

$$\boldsymbol{E}_{\boldsymbol{n}}(\boldsymbol{\alpha}) = \{ \alpha_0 \beta_1^{\boldsymbol{n}_1} \alpha_1 \cdots \beta_r^{\boldsymbol{n}_r} \alpha_r : \boldsymbol{n}_1, \ldots, \boldsymbol{n}_r \geq \boldsymbol{n} \}.$$

For a set W of ω-terms, we let

$$E_n(W) = \bigcup_{\alpha \in W} E_n(\alpha).$$

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 $L_n(\alpha) = (E_n)^k(\alpha).$

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LANGUAGES ASSOCIATED WITH ω -TERMS

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McCammond's solution	New approach	Star-freeness of $L_n(\alpha)$	Star-freeness of $L_n(\alpha)^*$	Other applications
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AN IMPORTANT PA	RAMETER			

Let

$\alpha = \alpha_0(\beta_1)\alpha_1\cdots(\beta_r)\alpha_r$

be an ω -term of rank $i \ge 1$ where each β_j has rank i - 1 and each α_i has rank at most i - 1.

Let $\mu(\alpha)$ denote the integer

 $2(2 + \max\{|\beta_j \alpha_j \beta_{j+1}|, |\beta_r \alpha_r|, |\alpha_0 \beta_1| : j = 1, \dots, r-1\}).$

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• In case α is a word, we let

 $\mu(\alpha) = |\alpha|.$

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McCammond's solution	New approach ○○●○	Star-freeness of $L_n(\alpha)$	Star-freeness of $L_n(\alpha)^*$	Other applications
KEY RESULTS				

Whenever α is an ω -term in normal form and $n \ge \mu(\alpha)$, the language $L_n(\alpha)$ is star free.

THEOREM (SEPARATION)

Let α and β be two ω -terms in normal form and let $n > \max\{|\alpha|, |\beta|, \mu(\alpha), \mu(\beta)\}$. Then

 $L_n(\alpha) \cap L_n(\beta) \neq \emptyset \implies \alpha = \beta.$

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McCammond's solution New approach Star-freeness of $L_n(\alpha)$ Star-freeness of $L_n(\alpha)^*$ Other applications occo

COROLLARY (MCCAMMOND'S THEOREM REPROVED)

If α and β are ω -words in normal form such that $p_A(\alpha) = p_A(\beta)$, then $\alpha = \beta$.

Proof.

Let *n* be any integer greater than $\max\{|\alpha|, |\beta|, \mu(\alpha), \mu(\beta)\}$.

By the Separation Theorem, it suffices to show that $L_n(\alpha) \cap L_n(\beta) \neq \emptyset$.

Suppose to the contrary that $L_n(\alpha) \cap L_n(\beta) = \emptyset$.

Since $L_n(\alpha)$ and $L_n(\beta)$ are star-free languages by the Star-freeness Theorem, their closures in $\overline{\Omega}_X \mathbf{A}$, which are respectively $p_{\mathbf{A}}(\operatorname{cl}(L_n(\alpha)))$ and $p_{\mathbf{A}}(\operatorname{cl}(L_n(\beta)))$, are clopen subsets whose intersection with X^+ give, respectively, $L_n(\alpha)$ and $L_n(\beta)$.

Hence $p_{\mathbf{A}}(\operatorname{cl}(L_n(\alpha))) \cap p_{\mathbf{A}}(\operatorname{cl}(L_n(\beta))) = \emptyset$.

APPLICATION: MCCAMMOND'S THEOREM

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PROOF.

Let *n* be any integer greater than max{ $|\alpha|, |\beta|, \mu(\alpha), \mu(\beta)$ }. By the Separation Theorem, it suffices to show that $L_n(\alpha) \cap L_n(\beta) \neq \emptyset$. Suppose to the contrary that $L_n(\alpha) \cap L_n(\beta) = \emptyset$. Since $L_n(\alpha)$ and $L_n(\beta)$ are star-free languages by the Star-freeness Theorem, their closures in $\Omega_X A$, which are respectively $p_A(cl(L_n(\alpha)))$ and $p_A(cl(L_n(\beta)))$, are clopen subsets whose intersection with X give, respectively, $L_n(\alpha)$ and $L_n(\beta)$. Hence $p_A(cl(L_n(\alpha))) \cap p_A(cl(L_n(\beta))) = \emptyset$. Since $\alpha \in cl(L_n(\alpha))$ and $\beta \in cl(L_n(\beta))$, it follows that $p_A(\alpha) \neq p_A(\beta)$, in

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 McCammond's solution
 New approach
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EXAMPLE

Let

 $\alpha = (a^{\omega}abb^{\omega}a^2b^2)^{\omega}.$

Then α is in normal form and

$$L_1(\alpha) \cap (a^2b^2)^* = ((a^2b^2)^2)^+$$

so that $L_1(\alpha)$ is not star-free since



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so that $L_1(\alpha)$ is not star-free since

- $(a^2b^2)^*$ is star-free and
- $((a^2b^2)^2)^+$ is not.

McCammond's solution	New approach	Star-freeness of $L_n(\alpha)$	Star-freeness of $L_n(\alpha)^*$	Other applications
CIRCULAR NORMAL	FORM			

- α is a word;
- α is a term of rank $i \ge 1$ of the form $\alpha = (\delta_1)\gamma_1 \cdots (\delta_r)\gamma_r$ such that each term $(\delta_k)\gamma_k(\delta_{k+1})$ with $k \in \{1, \ldots, r\}$ is in normal form, where we consider $\gamma_{r+1} = \gamma_1$.

LEMMA

Let α be a primitive ω -term of rank $i \ge 0$ in circular normal form and let $n \ge \mu(\alpha)$. If $L_n(\alpha)$ is a star-free language, then so is $L_n(\alpha)^*$.

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PROOF OF STAR-FI	REENESS OF L_{n}	(α)		

Whenever α is an ω -term in normal form and $n \ge \mu(\alpha)$, the language $L_n(\alpha)$ is star free.

Proof.

Let *i* = rank α . If *i* = 0, then $L_n(\alpha) = \{\alpha\}$ is a star-free language. Assume that $i \ge 1$, and let $\alpha = \gamma_0(\delta_1)\gamma_1\cdots(\delta_r)\gamma_r$. **Claim:** $L_n(\gamma_0)$, $L_n(\delta_j)$ and $L_n(\delta_j\gamma_j)$ are star-free languages. As a consequence, each language

$$L_n((\delta_j)\gamma_j) = L_n(\delta_j)^* L_n(\delta_j)^{n-1} L_n(\delta_j\gamma_j)$$

is also star-free. Hence

$$L_n(\alpha) = L_n(\gamma_0) L_n((\delta_1)\gamma_1) \cdots L_n((\delta_r)\gamma_r)$$

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PROOF OF STAR-FI	REENESS OF L_n	(α)		

Whenever α is an ω -term in normal form and $n \ge \mu(\alpha)$, the language $L_n(\alpha)$ is star free.

PROOF.

Let $i = \operatorname{rank} \alpha$. If i = 0, then $L_n(\alpha) = \{\alpha\}$ is a star-free language. Assume that $i \ge 1$, and let $\alpha = \alpha(\alpha)$, are star-free languages. As a consequence, each language $L_n((\delta_1)\gamma_1) = L_n(\delta_1)^n L_n(\delta_1)^{n-1} L_n(\delta_1\gamma_1)$ is also star-free. Hence $L_n(\alpha) = L_n(\gamma_0) L_n((\delta_1)\gamma_1) \cdots L_n((\delta_r)\gamma_r)$ is star-free, as stated in the theorem.

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Let $i = \operatorname{rank} \alpha$. If i = 0, then $L_n(\alpha) = \{\alpha\}$ is a star-free language. Assume that $i \ge 1$, and let $\alpha = \gamma_0(\delta_1)\gamma_1\cdots(\delta_r)\gamma_r$. **Claim:** $L_n(\gamma_0)$, $L_n(\delta_j)$ and $L_n(\delta_j\gamma_j)$ are star-free languages. As a consequence, each language $L_n((\delta_j)\gamma_j) = L_n(\delta_j)^n L_n(\delta_j)^{n-1}L_n(\delta_j\gamma_j)$ is also star-free. Hence $L_n(\alpha) = L_n(\gamma_0)L_n((\delta_1)\gamma_1)\cdots L_n((\delta_r)\gamma_r)$ is star-free, as stated in the theorem.

McCammond's solution	New approach	Star-freeness of $L_n(\alpha)$	Star-freeness of $L_n(\alpha)^*$	Other applications
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PROOF OF STAR-FI	REENESS OF L_n	(α)		

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By induction on $i \ge 1$, the case i = 1 being clear since $\gamma_j, \delta_j \in X^*$. Suppose that $j \ge 2$ and assume inductively that the claim holds for terms of rank smaller than j. Consider the term

 $\alpha' = \gamma_0 \delta_1 \delta_1 \gamma_1 \cdots \delta_r \delta_r \gamma_r \in E_2(\alpha).$

Then α' is a rank $i - 1 \ge 1$ term in normal form and we may apply to it the induction hypothesis. Hence, if $\alpha' = u_0(v_1)u_1\cdots(v_s)u_s$ is the normal form expression of α' , then $L_n(u_0), L_n(v_k)$, and $L_n(v_ku_k)$ are star-free, whence so are $L_n(u_0), L_n((v_k))$, and $L_n((v_k)u_k)$. Since each factor $\gamma_0, \delta_j, \delta_j\gamma_j$ must be a product of some of the factors $u_0, (v_k), (v_k)u_k$ it follows that the languages $L_n(\gamma_0), L_n(\delta_j), L_n(\delta_j\gamma_j)$ are star-free, thus proving the induction step.

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- \Leftrightarrow the syntactic semigroup $S(L_n(\alpha)^*)$ is aperiodic
- $\Leftrightarrow S(L_n(\alpha)^*)$ verifies the pseudoidentity $x^{\omega} = x^{\omega+1}$
- \Leftrightarrow $S(L_n(\alpha)^*)$ ultimately verifies the identity $x^N = x^{N+1}$

$$\Leftrightarrow \quad \forall N > K(\alpha, n) \forall u, v, z \in X^* \left(u z^N v \in L_n(\alpha)^* \Leftrightarrow u z^{N+1} v \in L_n(\alpha)^* \right)$$

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Lemma


Notice that, for an ω -term α and a fixed positive integer *n*:

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We could further assume *z* to be a Lyndon word.

LEMMA

Let z_1 and z_2 be Lyndon words and suppose that w is a word such that $|w| \ge |z_1| + |z_2|$ and w is a factor of both a power of z_1 and a power of z_2 . Then $z_1 = z_2$.

McCammond's solution	New approach	Star-freeness of $L_n(\alpha)$	Star-freeness of $L_n(\alpha)^*$	Other applications
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Let α be a term of rank 1 in circular normal form and let $n \ge \mu(\alpha)$. If $z^{\ell} \in L_n(\alpha)$ then there exists a term of rank 1 in circular normal form $\bar{\alpha}$ such that $\alpha = \bar{\alpha}^{\ell}$ and $z \in L_n(\bar{\alpha})$.

Proof

Let $\alpha = (v_1)u_1\cdots(v_r)u_r$ and $w = z^{\ell}$. Since $z^{\ell} \in L_n(\alpha)$, it follows that $w = v_1^{\ell}u_1\cdots v_r^{\ell}u_r$, with $n_1,\ldots,n_r \ge n$. If $\ell = 1$ then we can just take $\overline{\alpha} = \alpha$. If $\ell > 1$, **Case 1**: $z < v_1$. If $v_1 = z^{\ell}$, then we set t = z. Otherwise, let t be as in $v_1 = v_1$

Then t is both a proper suffix and a proper prefix of v_1 , which contradicts the hypothesis that v_1 is a Lyndon word. (continues)

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Case 2: $z = v_1^k$ is also impossible. **Case 3**: $v_1^{k-1} < z < v_1^k$ for some $k \ge 2$, is also impossible.

Therefore $v_1^{n_1} \prec z$ and z is not a prefix of any power of v_1 . In particular, $|z| > n \ge \mu[\alpha] > |u_r|$. Hence for every $i \in \{1, \dots, \ell - 1\}$ there exists $m_i \in \{2, \dots, r\}$ such that

$$|v_1^{n_1} U_1 \cdots v_{m_l-1}^{n_{m_l-1}}| \le |Z^i| < |v_1^{n_1} U_1 \cdots v_{m_l-1}^{n_{m_l-1}} U_{m_l-1} v_{m_l}^{n_{m_l}}|.$$

Taking into account that $v_1^n < z$ it follows that $v_1 = v_{m_i}$, $u_1 = u_{m_i}$ and $v_2 = v_{m_i+1}$. Inductively, one shows that $v_j = v_{m_i+j-1}$ and $u_j = u_{m_i+j-1}$ for all j, which proves that the word $\bar{\alpha} = (v_1)u_1\cdots(v_{m_1-1})u_{m_1-1}$ is such that $\alpha = \bar{\alpha}^\ell$ and $z \in L_n(\bar{\alpha})$.

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Taking into account that $v_1^n < z$ it follows that $v_1 = v_{m_i}$, $u_1 = u_{m_i}$ and $v_2 = v_{m_i+1}$. Inductively, one shows that $v_j = v_{m_i+j-1}$ and $u_j = u_{m_i+j-1}$ for all j, which proves that the word

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Case 2: $z = v_1^k$ is also impossible. **Case 3**: $v_1^{k-1} < z < v_1^k$ for some $k \ge 2$, is also impossible.

Therefore $v_1^{n_1} < z$ and z is not a prefix of any power of v_1 . In particular, $|z| > n \ge \mu[\alpha] > |u_r|$. Hence for every $i \in \{1, ..., \ell - 1\}$ there exists $m_i \in \{2, ..., r\}$ such that

$$|v_1^{n_1} u_1 \cdots v_{m_i-1}^{n_{m_i-1}}| \le |z^i| < |v_1^{n_1} u_1 \cdots v_{m_i-1}^{n_{m_i-1}} u_{m_i-1} v_{m_i}^{n_{m_i}}|.$$

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In case α is a primitive term we get the result.

LEMMA

Let α be a primitive term of rank $i \ge 0$ in circular normal form and let $n \ge \mu(\alpha)$. If $z^{\ell} \in L_n(\alpha)^k$ then $z \in L_n(\alpha)^m$ for some m such that $1 \le m \le k$.

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McCammond's solution	New approach	Star-freeness of $L_n(\alpha)$	Star-freeness of $L_n(\alpha)^*$	Other applications
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If $v \in \overline{\Omega}_X A$ is a factor of $u \in \Omega_X^{\omega} A$, then $v \in \Omega_X^{\omega} A$.

- For $w \in \overline{\Omega}_X \mathbf{A}$, let
 - $\mathcal{F}(w)$ be the set of all finite factors $u \in X^+$ of w;
 - P(w) be the language of all ω-terms in normal form which define factors of w.

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THEOREM

Let $w \in \overline{\Omega}_X \mathbf{A}$. Then $w \in \Omega_X^{\omega} \mathbf{A}$ if and only if w satisfies the following finiteness conditions:

- *F*(w) has no infinite factor-anti-chains;
- P(w) is a rational language.

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