

Noncommutative p -adic analysis

Pedro V. Silva

(CMUP, University of Porto)

Brno, 6th March 2009

Joint work with

Jean-Eric Pin

(LIAFA, CNRS and University Paris Diderot)

The pro- \mathbf{V} quasimetric

Let M be a (f.g.) monoid and \mathbf{V} a pseudovariety of finite monoids.

Given $u, v \in M$, let

$$r_{\mathbf{V}}(u, v) = \min\{|N| \mid N \in \mathbf{V} \text{ and separates } u \text{ and } v\}$$

$$d_{\mathbf{V}}(u, v) = 2^{-r_{\mathbf{V}}(u, v)}$$

with the usual conventions. Then $\min \emptyset = -\infty$ and $p^{-\infty} = 0$. Then $d_{\mathbf{V}}$ is a quasi(ultra)metric on M .

\mathbf{V} -uniform continuity

Let $f : M \rightarrow N$ be a function between (f.g.) monoids.

We say that f is

- \mathbf{V} -uniformly continuous if it is uniformly continuous with respect to the \mathbf{V} -quasimetric;
- \mathbf{V} -hereditarily continuous if it is \mathbf{W} -uniformly continuous for every subvariety \mathbf{W} of \mathbf{V} .

Theorem

Let $f : M \rightarrow N$ be a function between (f.g.) monoids. TFCAE:

- (1) f is \mathbf{V} -uniformly continuous;
- (2) if $X \subseteq N$ is \mathbf{V} -recognizable, then $f^{-1}(X)$ is also \mathbf{V} -recognizable.

Who's who

Our results involve:

- free monoids and free commutative monoids;
- the pseudovarieties

\mathbf{G}_p – finite p -groups

\mathbf{G} – finite groups

\mathbf{A} – finite aperiodic monoids

\mathbf{M} – finite monoids

Characterizations obtained:

- \mathbf{G}_p -uniform continuity: $\mathbb{N}^k \rightarrow \mathbb{Z}, A^* \rightarrow \mathbb{Z}$
- \mathbf{G}_p -hereditary continuity: $\mathbb{N}^k \rightarrow \mathbb{Z}, A^* \rightarrow \mathbb{Z}$
- \mathbf{G} -uniform continuity: $\mathbb{N}^k \rightarrow \mathbb{Z}$
- \mathbf{G} -hereditary continuity: $\mathbb{N}^k \rightarrow \mathbb{Z}, A^* \rightarrow \mathbb{Z}$
- \mathbf{A} -uniform continuity: $\mathbb{N}^k \rightarrow \mathbb{N}$
- \mathbf{A} -hereditary continuity: $\mathbb{N}^k \rightarrow \mathbb{N}$
- \mathbf{M} -hereditary continuity: $\mathbb{N}^k \rightarrow \mathbb{N}$

Types of characterizations:

Combinatorial/number-theoretic

e.g., $f : \mathbb{N} \rightarrow \mathbb{N}$ is **A-uniformly continuous** if and only if, for every $n \in \mathbb{N}$, $f^{-1}(n)$ is either **finite** or **cofinite**.

Analytic (???)

Mahler's expansions

For each function $f : \mathbb{N} \rightarrow \mathbb{Z}$, there exists a **unique family** a_k of integers such that, for all $n \in \mathbb{N}$,

$$f(n) = \sum_{k=0}^{\infty} a_k \binom{n}{k}$$

Mahler's expansions

For each function $f : \mathbb{N} \rightarrow \mathbb{Z}$, there exists a **unique family** a_k of integers such that, for all $n \in \mathbb{N}$,

$$f(n) = \sum_{k=0}^{\infty} a_k \binom{n}{k}$$

This family is given by

$$a_k = (\Delta^k f)(0)$$

where Δ is the **difference operator**, defined by

$$(\Delta f)(n) = f(n+1) - f(n)$$

Examples

Fibonacci sequence: $f(0) = f(1) = 1$ and
 $f(n) = f(n - 1) + f(n - 2)$ for $(n \geq 2)$. Then

$$f(n) = \sum_{k=0}^{\infty} (-1)^{k+1} f(k) \binom{n}{k}$$

Examples

Fibonacci sequence: $f(0) = f(1) = 1$ and $f(n) = f(n-1) + f(n-2)$ for $(n \geq 2)$. Then

$$f(n) = \sum_{k=0}^{\infty} (-1)^{k+1} f(k) \binom{n}{k}$$

Let $f(n) = r^n$. Then

$$f(n) = \sum_{k=0}^{\infty} (r-1)^k \binom{n}{k}$$

The p -adic valuation

Let p be a prime number. The p -adic valuation of a non-zero integer n is

$$\nu_p(n) = \max \{k \in \mathbb{N} \mid p^k \text{ divides } n\}$$

The p -adic valuation

Let p be a prime number. The p -adic valuation of a non-zero integer n is

$$\nu_p(n) = \max \{k \in \mathbb{N} \mid p^k \text{ divides } n\}$$

By convention, $\nu_p(0) = +\infty$. The p -adic norm of n is the real number

$$|n|_p = p^{-\nu_p(n)}$$

The p -adic valuation

Let p be a prime number. The p -adic valuation of a non-zero integer n is

$$\nu_p(n) = \max \{k \in \mathbb{N} \mid p^k \text{ divides } n\}$$

By convention, $\nu_p(0) = +\infty$. The p -adic norm of n is the real number

$$|n|_p = p^{-\nu_p(n)}$$

Finally, the metric d_p can be defined by

$$d_p(u, v) = |u - v|_p$$

Mahler's theorem

Theorem (Mahler)

Let $f(n) = \sum_{k=0}^{\infty} a_k \binom{n}{k}$ be the Mahler's expansion of a function $f : \mathbb{N} \rightarrow \mathbb{Z}$. TFCAE:

- (1) f is uniformly continuous for the p -adic norm,
- (2) the polynomial functions $n \rightarrow \sum_{k=0}^m a_k \binom{n}{k}$ converge uniformly to f ,
- (3) $\lim_{k \rightarrow \infty} |a_k|_p = 0$.

(2) means that $\lim_{m \rightarrow \infty} \sup_{n \in \mathbb{N}} \left| \sum_{k=m}^{\infty} a_k \binom{n}{k} \right|_p = 0$.

Mahler's theorem (2)

Theorem (Mahler)

f is uniformly continuous iff its Mahler's expansion converges uniformly to f .

Mahler's theorem (2)

Theorem (Mahler)

f is uniformly continuous iff its Mahler's expansion converges uniformly to f .

The most remarkable part of the theorem is the fact that **any uniformly continuous function** can be approximated by polynomial functions, in contrast to Stone-Weierstrass approximation theorem, which requires much stronger conditions.

Examples

- The Fibonacci function is not uniformly continuous (for any p) since

$$f(n) = \sum_{k=0}^{\infty} (-1)^{k+1} f(k) \binom{n}{k}.$$

- The function $f(n) = r^n$ is uniformly continuous iff $p \mid r - 1$ since $f(n) = \sum_{k=0}^{\infty} (r - 1)^k \binom{n}{k}$.

Extension to words

Is it possible to obtain similar results for functions from A^* to \mathbb{Z} ?

Extension to words

Is it possible to obtain similar results for functions from A^* to \mathbb{Z} ?

Questions to be solved:

- (1) Extend **binomial coefficients** to words and **difference operators** to word functions.
- (2) Find a **Mahler expansion** for functions from A^* to \mathbb{Z} .
- (3) Find a **metric** on A^* which generalizes d_p .
- (4) Extend **Mahler's theorem**.

Binomial coefficients (see Eilenberg or Lothaire)

Let $u = a_1 \cdots a_n$ and v be two words of A^* . Then u is a **subword** of v if there exist $v_0, \dots, v_n \in A^*$ such that $v = v_0 a_1 v_1 \cdots a_n v_n$. The **binomial coefficient** of u and v is

$$\binom{v}{u} = |\{(v_0, \dots, v_n) \mid v = v_0 a_1 v_1 \cdots a_n v_n\}|$$

Binomial coefficients (see Eilenberg or Lothaire)

Let $u = a_1 \cdots a_n$ and v be two words of A^* . Then u is a **subword** of v if there exist $v_0, \dots, v_n \in A^*$ such that $v = v_0 a_1 v_1 \dots a_n v_n$. The **binomial coefficient** of u and v is

$$\binom{v}{u} = |\{(v_0, \dots, v_n) \mid v = v_0 a_1 v_1 \dots a_n v_n\}|$$

If a is a letter, then $\binom{u}{a} = |u|_a$. If $u = a^n$ and $v = a^m$, then

$$\binom{v}{u} = \binom{m}{n}$$

Pascal triangle

Let $u, v \in A^*$ and $a, b \in A$. Then

$$(1) \binom{u}{1} = 1,$$

$$(2) \binom{u}{v} = 0 \text{ if } |u| \leq |v| \text{ and } u \neq v,$$

$$(3) \binom{ua}{vb} = \begin{cases} \binom{u}{vb} & \text{if } a \neq b \\ \binom{u}{vb} + \binom{u}{v} & \text{if } a = b \end{cases}$$

Pascal triangle

Let $u, v \in A^*$ and $a, b \in A$. Then

$$(1) \binom{u}{1} = 1,$$

$$(2) \binom{u}{v} = 0 \text{ if } |u| \leq |v| \text{ and } u \neq v,$$

$$(3) \binom{ua}{vb} = \begin{cases} \binom{u}{vb} & \text{if } a \neq b \\ \binom{u}{vb} + \binom{u}{v} & \text{if } a = b \end{cases}$$

Example

$$\binom{abab}{ab} = 3$$

Difference operator

Let $f : A^* \rightarrow \mathbb{Z}$ be a function. We define inductively an operator Δ^w for each word $w \in A^*$ by setting $(\Delta^1 f)(u) = f(u)$, and for each $a \in A$:

$$(\Delta^a f)(u) = f(ua) - f(u),$$

$$(\Delta^{aw} f)(u) = (\Delta^a(\Delta^w f))(u).$$

Difference operator

Let $f : A^* \rightarrow \mathbb{Z}$ be a function. We define inductively an operator Δ^w for each word $w \in A^*$ by setting $(\Delta^1 f)(u) = f(u)$, and for each $a \in A$:

$$(\Delta^a f)(u) = f(ua) - f(u),$$

$$(\Delta^{aw} f)(u) = (\Delta^a(\Delta^w f))(u).$$

(Equivalent) direct definition of Δ^w :

$$\Delta^w f(u) = \sum_{0 \leq |x| \leq |w|} (-1)^{|w|+|x|} \binom{w}{x} f(ux)$$

Mahler's expansion of word functions

Theorem (cf. Lothaire)

For each function $f : A^* \rightarrow \mathbb{Z}$, there exists a *unique family* $\langle f, v \rangle_{v \in A^*}$ of integers such that, for all $u \in A^*$,

$$f(u) = \sum_{v \in A^*} \langle f, v \rangle \binom{u}{v}$$

This family is given by

$$\langle f, v \rangle = (\Delta^v f)(1) = \sum_{0 \leq |x| \leq |v|} (-1)^{|v|+|x|} \binom{v}{x} f(x)$$

An example

Let $f : \{0, 1\}^* \rightarrow \mathbb{N}$ the function mapping a binary word onto its value: $f(010111) = f(10111) = 23$.

$$(\Delta^v f) = \begin{cases} f + 1 & \text{if } v \in 1\{0, 1\}^* \\ f & \text{otherwise} \end{cases}$$

$$(\Delta^v f)(\varepsilon) = \begin{cases} 1 & \text{if } v \in 1\{0, 1\}^* \\ 0 & \text{otherwise} \end{cases}$$

An example

Let $f : \{0, 1\}^* \rightarrow \mathbb{N}$ the function mapping a binary word onto its value: $f(010111) = f(10111) = 23$.

$$(\Delta^v f) = \begin{cases} f + 1 & \text{if } v \in 1\{0, 1\}^* \\ f & \text{otherwise} \end{cases}$$

$$(\Delta^v f)(\varepsilon) = \begin{cases} 1 & \text{if } v \in 1\{0, 1\}^* \\ 0 & \text{otherwise} \end{cases}$$

Thus, if $u = 01001$, then

$$f(u) = \binom{u}{1} + \binom{u}{10} + \binom{u}{11} + \binom{u}{100} + \binom{u}{101} + \binom{u}{1001} = 2 + 2 + 1 + 1 + 2 + 1 = 9.$$

Mahler's expansion of the product of two functions

An interesting question is to compute the Mahler's expansion of the **product** of two functions.

Mahler's expansion of the product of two functions

An interesting question is to compute the Mahler's expansion of the **product** of two functions.

Proposition

Let f and g be two word functions. The coefficients of the Mahler's expansion of fg are given by

$$\langle fg, x \rangle = \sum_{v_1, v_2 \in A^*} \langle f, v_1 \rangle \langle g, v_2 \rangle \langle v_1 \uparrow v_2, x \rangle$$

where $v_1 \uparrow v_2$ denotes the infiltration product.

Infiltration product (Chen, Fox, Lyndon)

Intuitively, the coefficient $\langle u \uparrow v, x \rangle$ is the number of pairs of subsequences of x which are respectively equal to u and v and whose union gives the whole sequence x .

Infiltration product (Chen, Fox, Lyndon)

Intuitively, the coefficient $\langle u \uparrow v, x \rangle$ is the number of pairs of subsequences of x which are respectively equal to u and v and whose union gives the whole sequence x . For instance,

$$ab \uparrow ab = ab + 2aab + 2abb + 4aabb + 2abab$$

$$(4aabb \text{ since } aabb = aabb = aabb = aabb = aabb)$$

$$ab \uparrow ba = aba + bab + abab + 2abba + 2baab + baba$$

Mahler polynomials

A function $f : A^* \rightarrow \mathbb{Z}$ is a **Mahler polynomial** if its Mahler's expansion has **finite support**, that is, if the number of nonzero coefficients $\langle f, v \rangle$ is finite.

Mahler polynomials

A function $f : A^* \rightarrow \mathbb{Z}$ is a **Mahler polynomial** if its Mahler's expansion has **finite support**, that is, if the number of nonzero coefficients $\langle f, v \rangle$ is finite.

Proposition

Mahler polynomials form a subring of the ring of all functions from A^ to \mathbb{Z} for addition and multiplication.*

Proposition

Any pair of distinct words can be separated by a p -group.

Proposition

Any pair of distinct words can be separated by a p -group.

Proposition

If $|A| = 1$, $d_{\mathbf{G}_p}$ is uniformly equivalent to the p -adic metric.

Mahler's theorem for word functions

Theorem

Let $f(n) = \sum_{v \in A^*} \langle f, v \rangle \binom{u}{v}$ be the *Mahler's expansion* of a function $f : A^* \rightarrow \mathbb{Z}$. TFCAE:

- (1) f is uniformly continuous for d_p ,
- (2) the partial sums $\sum_{0 \leq |v| \leq n} \langle f, v \rangle \binom{u}{v}$ converge uniformly to f ,
- (3) $\lim_{|v| \rightarrow \infty} |\langle f, v \rangle|_p = 0$.

Further results

Other **Mahler type** characterizations can be obtained for:

$$\begin{aligned} \mathbf{G}_p\text{-uniform continuity: } & \mathbb{N}^k \rightarrow \mathbb{Z} \quad (*) \\ \mathbf{G}_p\text{-hereditary continuity: } & \mathbb{N}^k \rightarrow \mathbb{Z}, A^* \rightarrow \mathbb{Z} \\ \mathbf{G}\text{-hereditary continuity: } & \mathbb{N}^k \rightarrow \mathbb{Z}, A^* \rightarrow \mathbb{Z} \\ \mathbf{A}\text{-uniform continuity: } & \mathbb{N} \rightarrow \mathbb{N} \end{aligned}$$

(*) alternative proof to **Amice's Theorem**

An example

Theorem

Let $f(n) = \sum_{k=0}^{\infty} a_k \binom{n}{k}$ be the *Mahler's expansion* of a function $f : \mathbb{N} \rightarrow \mathbb{Z}$. TFCAE:

- (1) f is \mathbf{G} -hereditarily continuous,
- (2) if $1 \leq j \leq k$, then $j|a_k$.

Further motivations

The **Wadge hierarchy** classifies topological spaces through **continuous reductions**: given two sets X and Y , Y reduces to X if there exists a continuous function f such that $X = f^{-1}(Y)$. Let us call **p -reduction** a **uniformly continuous** function between the metric spaces (A^*, d_p) and (B^*, d_p) . These **p -reductions** define a **hierarchy** of regular languages that we would like to explore.